



COPY OF PAPERS  
ORIGINALLY FILED

PATENT

Case Docket No. GENENT.072A2  
Date: July 23, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Gerritsen et al.  
Appl. No. : 09/940,101  
Filed : August 27, 2001  
For : ErbB4 ANTAGONISTS  
Examiner : Unknown  
Group Art Unit : 1641

) I hereby certify that this correspondence and all marked  
) attachments are being deposited with the United States  
) Postal Service as first class mail in an envelope addressed  
) to: United States Patent and Trademark Office, P.O. Box  
) 2327, Arlington, VA 22202, on

July 23, 2002  
(Date)

Ginger R. Dreger, Reg. No. 33,055

RECEIVED  
JUL 30 2002  
TECH CENTER 1600/2900

TRANSMITTAL LETTER

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with 4 references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record

GENENT.072A2



COPY OF PAPERS  
ORIGINALLY FILED

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gerritsen et al. ) Group Art Unit 1641  
App. No. : 09/940,101 )  
Filed : August 27, 2001 )  
For : ErbB4 ANTAGONISTS )  
Examiner : Unknown )

RECEIVED  
JUL 30 2002  
EX-1600/2900

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing 4 references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: July 23, 2002

By: [Signature]  
Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660

RECEIVED  
JUL 30 2002  
TECH CENTER 1600/2900

| U.S. PATENT DOCUMENTS |  |                 |      |      |       |          |                                 |
|-----------------------|--|-----------------|------|------|-------|----------|---------------------------------|
| EXAMINER<br>INITIAL   |  | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
|                       |  |                 |      |      |       |          |                                 |
|                       |  |                 |      |      |       |          |                                 |
|                       |  |                 |      |      |       |          |                                 |

[illegible][illegible]

|  |                 |
|--|-----------------|
| EXAMINER   | DATE CONSIDERED |
| <p><b>*EXAMINER:</b> INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p> |                 |